



HORIZON 2020

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REA

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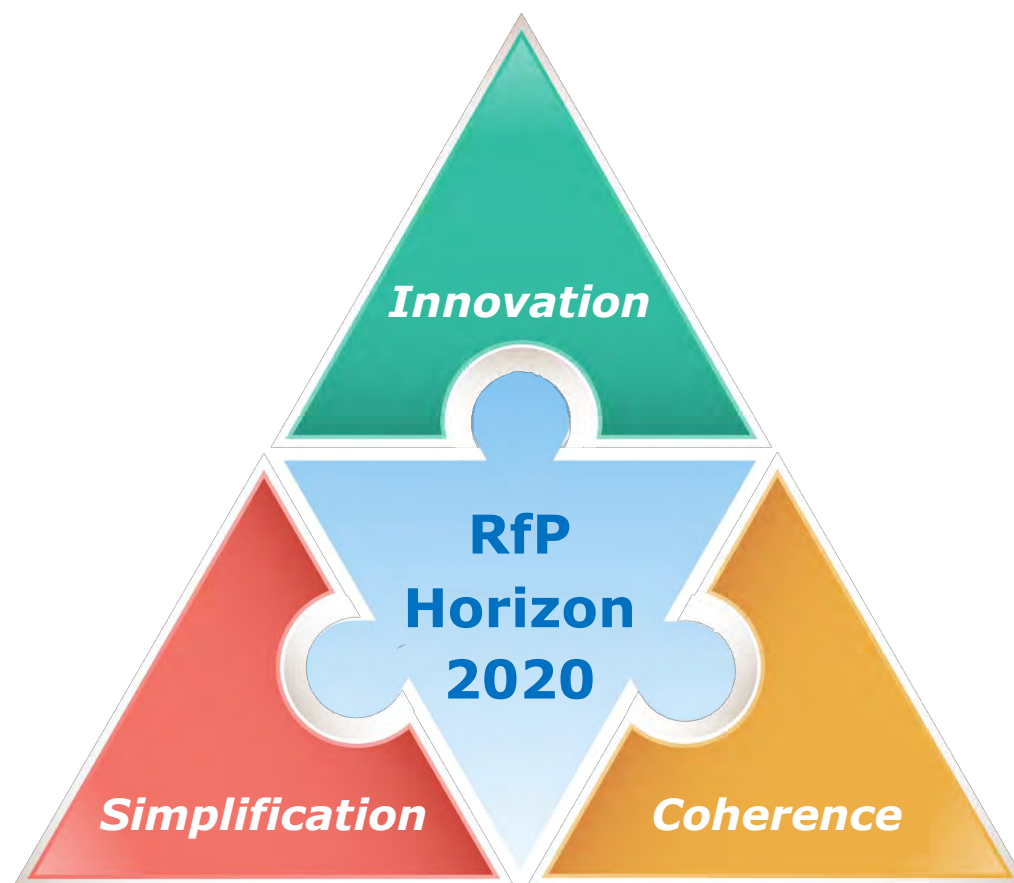
HORIZON 2020

Rules for Participation and Dissemination And Model Grant Agreement

DG Research and Innovation

Rules for participation: Three main objectives

**Innovation,
Simplification
and Coherence**



1. A single set of rules



- Covering all H2020 research and innovation actions
- Keeping flexibility where needed.

2. Conditions for Participation

Minimum conditions

✓ Standard collaborative actions

At least three legal entities each established in a different Member State or an Associated Country;

✓ ERC, SME instrument, programme co-fund, coordination and support, training and mobility actions:

One legal entity established in a Member State or in an Associated Country.

Additional Conditions

✓ In the work programme or work plan.

3. Evaluation of proposals

STANDARD AWARD CRITERIA

EXCELLENCE

IMPACT

**QUALITY &
EFFICIENCY
OF THE ACTION**

- ✓ **ERC** frontier Research actions >> only EXCELLENCE
- ✓ **Innovation actions** >> higher weighting for "IMPACT"

4. Time to grant: speeding up the process - I

A maximum TTG of 8 months

5 months

for informing all applicants
on scientific evaluation

3 months

for signature of GA

Some exceptions apply

5. Time to grant: speeding up the process - II

How to speed up the process

- ✓ **No more negotiations:**
each proposal evaluated 'as it is',
not as 'what could be';
- ✓ **Legal entity validated in parallel.**
- ✓ **No more paper:**
e-communication & e-signature of grants.

The screenshot shows the top navigation bar of the European Commission Research & Innovation Participant Portal. It includes the European Commission logo, the text 'RESEARCH & INNOVATION Participant Portal', and a breadcrumb trail: 'European Commission > Research & Innovation > Participant Portal > Home'. Below this is a secondary navigation bar with links for 'HOME', 'FUNDING OPPORTUNITIES', 'HOW TO PARTICIPATE', 'EXPERTS', and 'SUPPORT', along with 'LOGIN' and 'REGISTER' buttons. The main content area features a welcome message: 'Welcome to the Research and Innovation Participant Portal'. It is divided into two columns: 'About the Participant Portal:' and 'Using the Participant Portal:'. The 'About' section explains the portal's role in the electronic administration of EU-funded research and lists supported EU programmes: the 7th Framework Programme for Research and Technological Development (FP7) and the Competitiveness and Innovation Framework Programme (CIP). The 'Using' section provides instructions for guest users (searching for funding opportunities, downloading documents, and contacting support) and registered users (benefiting from personalised services). At the bottom, there is a row of six icons with labels: 'WHATS NEW', 'FUNDING OPPORTUNITIES', 'HOW TO PARTICIPATE?', 'WORK AS AN EXPERT', 'ACCESS MY PERSONAL AREA', and 'INFORMATION AND SUPPORT'.

6. Forms of funding - I

WE KEEP

- ✓ **Use of Grant Agreements**
- ✓ **Actual costs**



7. Forms of funding - II

What is **NEW**

- ✓ **Specific provisions targeting innovation:**
 - pre-commercial procurement,
 - procurement of innovative solutions
 - and inducement prizes.
- ✓ **Financial instruments:**
 - a debt financial instrument,
 - a financial instrument providing equity finance for R&I, etc.
- ✓ **Possibility of output-based grants (lump-sums per project).**
- ✓ **Enhanced use of other lump-sums, flat rates and unit costs.**

8. A single funding rate

FP7

Maximum reimbursement rates	Research and technological development activities (*)	Demonstration activities	Other activities
Network of excellence	50% 75% (**)		100%
Collaborative project(***)	50% 75% (**)	50%	100%
Coordination and support action			100% (***)

(*) Research and technological development includes scientific coordination.
 (**) For *beneficiaries* that are *non-profit public bodies*, secondary and higher education establishments, *research organisations* and *SMEs*.
 (***) The reimbursement of indirect eligible costs, in the case of coordination and support actions, may reach a maximum 7% of the direct eligible costs, excluding the direct eligible costs for subcontracting and the costs of resources made available by third parties which are not used on the premises of the *beneficiary*.
 (****) Including research for the benefit of specific groups (in particular SMEs)

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One project = One rate

- ✓ For all beneficiaries and all activities in the grant.
- ✓ Defined in the Work Programme:
 - Up to 100 % of the eligible costs;
 - but limited to a maximum of 70 % for innovation projects (exception for non-profit organisations - maximum of 100%).



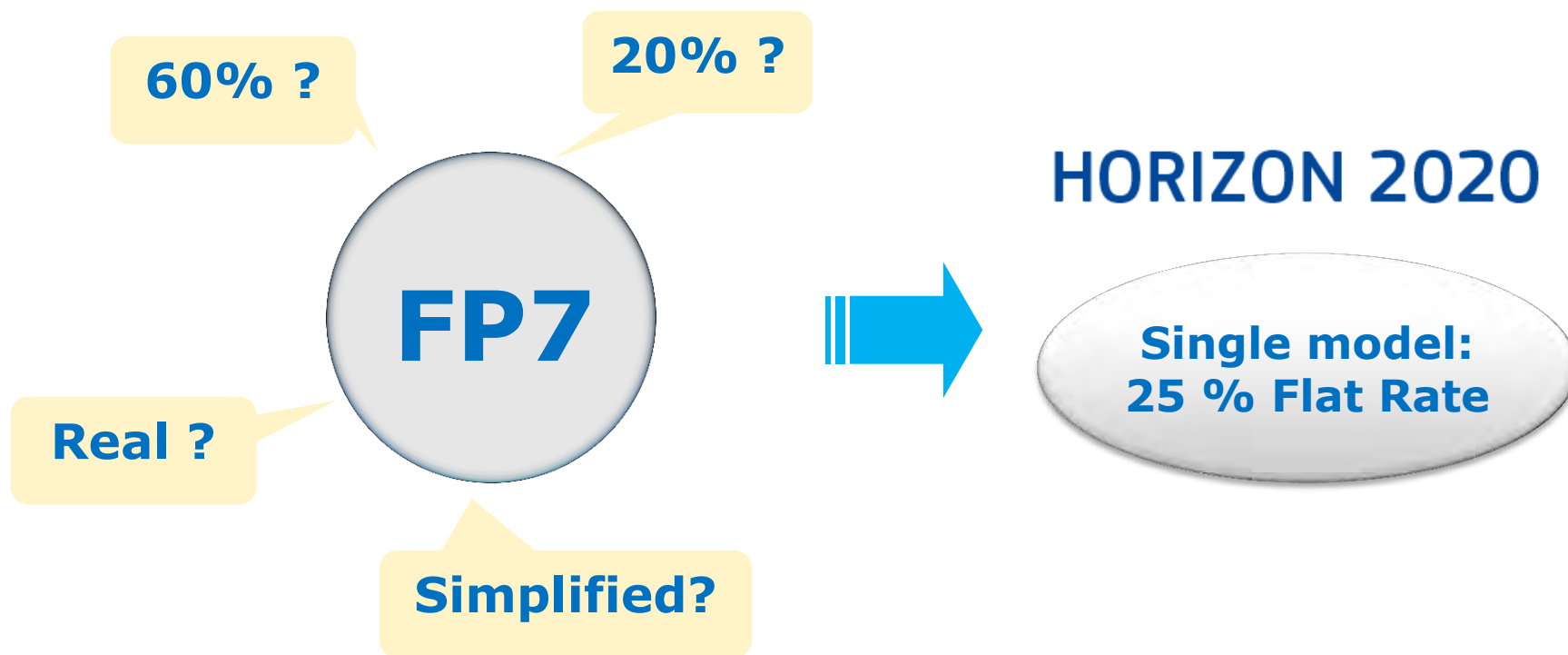
9. Cost reimbursement

Personnel costs

- ✓ **Wider acceptance of average personnel costs**
- ✓ **Acceptance of supplementary payments**
for non-profit organisations of up to 8000 euros/year/person
- ✓ **Simplifying participation for SMEs**
- ✓ **Less requirements for time records**
- ✓ **...and more in the Horizon 2020 Grant Agreement**



10. Single indirect cost model



11. New funding model: what impact on the EU contribution?

An example

FP7	<i>Majority of HES & RTOs</i>	Direct costs	Indirect costs	Total costs	% EU contribution	EU contribution
Flat-rate	(60%)	100	60	160	75%	€ 120

HORIZON 2020	Direct costs	Indirect costs	Total costs	% EU contribution	EU contribution
100/25 Funding	100	25	125	100%	€ 125



12. Specific procedures - I

Fast Track to Innovation Pilot:

To be launched in 2015, with the following features

- ✓ **maximum of 5 partners/ maximum €3 M per project**
- ✓ **Bottom-up approach**
- ✓ **Continuously open call with three cut-off dates per year**
- ✓ **Time to grant not exceeding 6 months**
- ✓ **Covering all fields across LEITs (Leadership in enabling and industrial technologies) and Societal Challenges**

13. Specific procedures - II

SME Instrument:

- ✓ **Implemented via single centralised management structure**
- ✓ **Bottom-up approach**
- ✓ **Continuously open call**
- ✓ **Only SMEs eligible for participation**



14. Controls and Audits

Financial viability → Restricted to coordinators for projects \geq €500 k€

Audit certificates [→ Only for final payments/per beneficiary /for actual costs \geq €325 000 €
→ Optional Certificates on average personnel costs

Ex-post audits [→ Provisions in **Horizon 2020 Regulation!**
→ Commission's audits up to two years after payment of the balance
→ Audit strategy focused on risk and fraud prevention

Extrapolation → New Financial Regulation will apply

Guarantee Fund → Continuity with FP7

15. International Cooperation - I

Participation

Open for all legal entities established in third countries and for international organisations.

Restrictions only possible if introduced in the work programme.

- ✓ **For reciprocity reasons;**
- ✓ **For security reasons.**



17. Intellectual Property Rights - I

We keep

✓ **Ownership**

- for the participant who generates results,
- joint-ownership only in specific circumstances

✓ **Protection**

where appropriate

✓ **Exploitation**

- General obligation to exploit

✓ **Dissemination**

- principle maintained;



18. Intellectual Property Rights - II

✓ **Transfer and exclusive licences outside the Union/Associated Countries**

- the grant agreement may foresee right to object if a participant has received Union funding

✓ **Access rights**

- for implementation and for exploitation purposes (also for affiliated entities established in MS/AC)

✓ **Tailor-made provisions in the MGA for:**

- security-related activities,
- ERC frontier research,
- SME instrument,
- mobility,
- support,
- KICs, etc.

19. Intellectual Property Rights - *III*

What is **NEW**

✓ **Additional exploitation / dissemination obligations**

(in the work programme)

✓ **Open access:**

- obligatory for scientific publications and,
- to research data (in appropriate areas and when foreseen in the work programme)

✓ **Access rights:**

- for the Union under all parts of the programme and,
- for MS under 'Secure societies',

✓ **Specific provision:**

- for pre-commercial public procurement and
- for procurement of innovative solutions



HORIZON 2020

Funding Instruments and Proposal Submission

Relevant Funding Instruments

Research and Innovation Action (RIA)

Innovation Action (IA)

Coordination and Support Action (CSA)

Pre-Commercial Procurement Cofund (PCP)

SME Instrument

RIA

Aim: To establish new knowledge and/or to explore the feasibility of a new or improved technology, product, process, service or solution

Funding: Up to 100% of eligible costs

Eligibility criteria: Three independent legal entities, each established in a different Member State or Associated Country

IA

Aim: To produce plans and arrangements or designs for new, altered or improved products, processes or services

Funding: Up to 70% of eligible costs (up to 100% for non-profit)

Eligibility criteria: Three independent legal entities, each established in a different Member State or Associated Country

CSA

Consisting of: Accompanying measures (e.g. standardisation, dissemination, awareness raising and communication, networking, coordination or support services, etc.)

Funding: Up to 100% of eligible costs

Eligibility criteria: One legal entity, each established in a Member State or Associated Country

Aim: To encourage public procurement of research, development and validation of new solutions that can bring significant quality and efficiency improvements in areas of public interest, whilst opening market opportunities for industry and researches active in Europe

Funding: Up to 70% of eligible costs

Eligibility criteria: Three independent legal entities, each established in a different Member State or Associated Country; minimum two public procurers from two different Member States or Associated Countries; **additional conditions in the Work Programme**

One **Common Challenge**

A **buyers group** and a **lead procurer**, all public contracting authorities (other type of procurers may participate under specific conditions)

One joint **call for tender**, one joint **evaluation of offers** and a **lead procurer** awarding the R&D service contracts in the name and on behalf of the buyers group.

Two types of eligible activities: **Preparation** and **Execution**

See **Annex D** and **Annex E** of the WP

SME Instrument

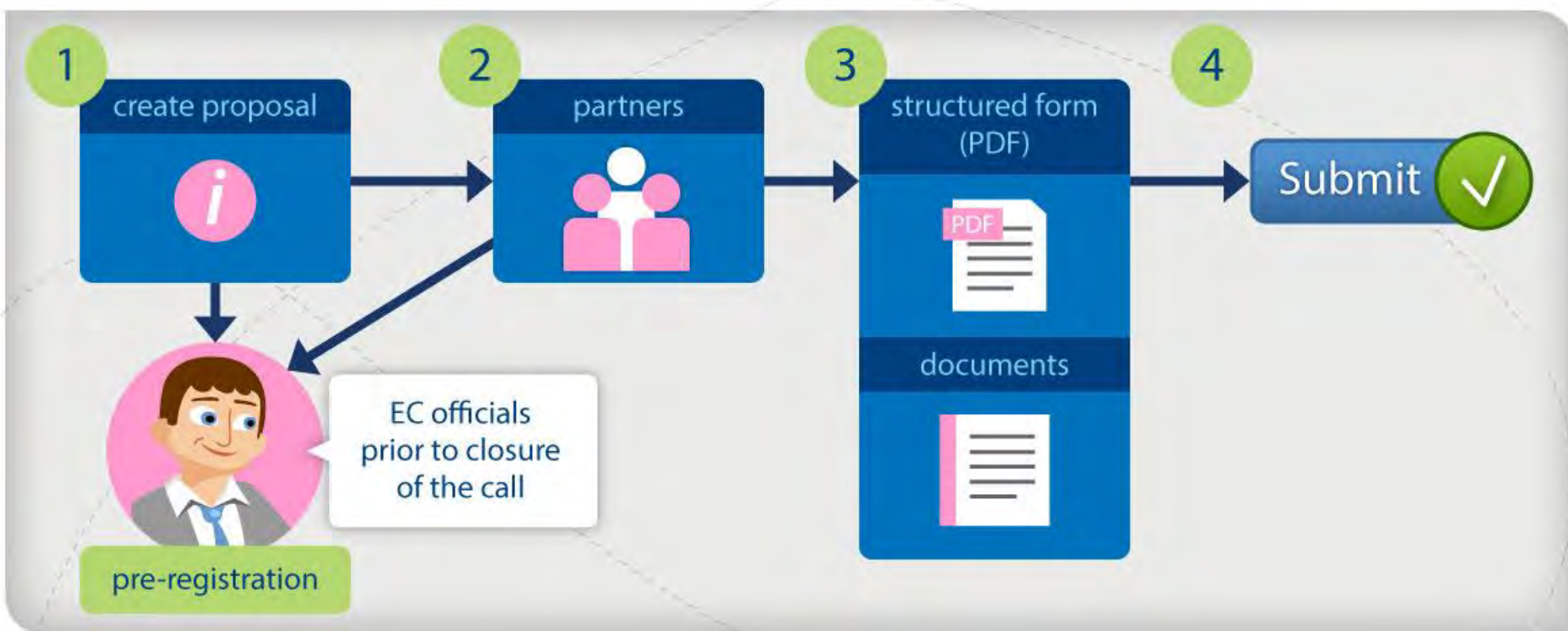
Description: The SME instrument is targeted at all types of innovative SMEs showing a strong ambition to develop, grow and internationalise. It provides staged support covering the whole innovation cycle in three phases complemented by a mentoring and coaching service.

Funding: Up to 70% of eligible costs

Eligibility criteria:

One for-profit SME. Only applications from SMEs established in EU Member States or countries associated to Horizon 2020.

The Proposal Submission Process



The Participant Portal

(A-Z) Sitemap About this site Contact Legal Notice English ▾



RESEARCH & INNOVATION

Participant Portal

European Commission > Research & Innovation > Participant Portal > Home

HOME FUNDING OPPORTUNITIES HOW TO PARTICIPATE EXPERTS SUPPORT ▾

LOGIN REGISTER

Horizon 2020 Funding

Starting from 1/1/2014

On this site you can find and secure **funding** for research & innovation projects under the following EU programmes:

- 2014-2020 Horizon 2020 - research and innovation framework programme
- 2007-2013 7th research framework programme (FP7) and Competitiveness & Innovation Programme (CIP)

Non-registered users

- search for funding
- read the funding guide & download the legal documents
- check if an organisation is already registered
- contact our support services or check our FAQs

Registered users

- submit your proposal
- sign the grant
- manage your project throughout its lifecycle



WHAT'S NEW?



FUNDING OPPORTUNITIES



HOW TO PARTICIPATE



WORK AS AN EXPERT



MY PERSONAL AREA



INFORMATION AND SUPPORT

RESEARCH ON EUROPA CORDIS OLAF

European Communities



The Proposal Submission Process

Instrument* selection

Step 2
Funding Scheme

Funding schemes are the types of research projects of the European Commission for the 7th Research Framework Programme. See more on funding schemes.

Choose your funding scheme

SELECT

Small or medium-scale focused research project (FP7-SC) (STREP)
CF-AP-INFO
starting on: 2013-10-31

SELECT

Large-scale integrating project
CF-IP
starting on: 2013-10-31

Configuration OK

You're using Firefox 17 on Windows. Adobe Reader (version 10.1.7.27) is installed.

For more information, please consult the User Guide.

pre-registration

Step 3
Create a Draft Proposal

Create a Draft Proposal

Please enter the following information to create a draft proposal. Please note that fields marked with a star (*) are mandatory.

Your Organisation

PICT* 95644445 Short name* BARD Consulting SCS

95644445 Voilels van der Moede-Rooge 20 Uccle, BE VAT

Organisations you have been previously associated with. Click to select

PICT: 95644445 BARD Consulting SCS 20 Voilels van der Moede-Rooge 20 Uccle BE VAT

PICT: 95644445 BARD Consulting SCS 20 Voilels van der Moede-Rooge 20 Uccle BE VAT

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PICT: 95644445 BARD Consulting SCS 20 Voilels van der Moede-Rooge 20 Uccle BE VAT

Search for your organisation PICT

Your Proposal

Please choose an acronym for your proposal. It will appear also in the "General information" section of the submission form Part A and can also be updated here.

Acronym* Dave Bard Please restrict acronym to latin characters only

Date Test

Short summary (max. 2000 characters)* This short summary is aggregated into the form as the initial abstract. Character count: 70

Activity Topic (primary)* Activity Topic (secondary)

Please select Please select

Future Networks [C-T-2013.1.1]
Photonics [C-T-2013.3.2]
Scalable data analytics [C-T-2013.4.2]
Technology enhanced learning [C-T-2013.5.2]

consortia set-up

Step 4
Manage Your Related Parties

Parties

In this step you as coordinator should manage and review the participants of your proposal. Only you as coordinator can edit the elements on this screen.

Note: Your changes will be applied only after you click the "Save changes" button.

Number of participants: 3 [Add Partner](#)

Consortium eligibility
Call requires at least 3 participants from different EU member states or associated countries, currently you have 2

1 **Coordinator**
BARD Consulting SCS
Dave Bard

2 **Partner**
JANITOM Tomasz Janisz
Tomasz Janisz

3 **Partner**
Hubert van DELFT sprl
Hubert van DELFT sprl

Configuration OK

You're using Firefox 17 on Windows. Adobe Reader (version 10.1.7.27) is installed.

For more information, please consult the User Guide.

Submission Summary

Step 6
Submit

Your proposal has been successfully submitted

Your proposal was submitted on: 18 October 2013 at 17:24:32 (Brussels Local Time) as part of the FP7-ICT-2013-11 call, before the deadline of 31 December 2013 at 17:00:00 (Brussels Local Time). Your project ID is 695314. This number is important and will be used as future reference during the evaluation process.

Revisit your Proposal

You may edit your proposal and re-submit at any time before the deadline of 31 December 2013 at 17:00:00 (Brussels Local Time) by clicking the "re-submit proposal" button.

You may download a timestamped and digitally signed PDF version of your submitted proposal.

You may withdraw the proposal at any time prior to call closure. In doing so it will not be passed to the evaluation stage.

Configuration OK

You're using Firefox 17 on Windows. Adobe Reader (version 10.1.7.27) is installed.

For more information, please consult the User Guide.

Administrative Forms

Forms

Proposal Submission Forms

EU RESEARCH - Participants

EU RESEARCH - Participants

Proposal Submission Forms

A3.1.1 Budget #1

BARD Consulting SCS

In FP7, there are different methods for calculating indirect costs. The various options are explained in the guidelines table. Please be aware that not all activities are eligible to all types of organisations.

My legal entity is established in an ECPC and I will use the lump sum funding method (if yes, please fill before the lump sum call only, if no, please do not use the lump sum form)

Type of Activity	HTD	Contribution	Contribution	Support	Management	Other	Total
	up to 50 or 75%*	up to 50%	up to 100%	up to 100%	up to 100%	up to 100%	
Personnel costs (in €)	1 000 €	1 000 €	1 100 €	1 200 €	24 650 €	10 €	79 210 €
Subcontracting (in €)	1 10 €	324 €	2 099 €	2 300 €	3 328 €	-324 €	9 436 €
Other direct costs (in €)	12 245 €	44 550 €	1 000 €	122 648 €	100 €	18 000 €	190 366 €
Indirect costs (in €)	7 000 €	0 €	1 100 €	4 640 €	80 €	108 440 €	121 670 €
Lump sum, Member of states of call (option only for ECPC) (in €)							
Total budget (in €)	19 380 €	46 374 €	3 200 €	139 748 €	28 718 €	66 470 €	341 916 €
Requested EC contribution (in €)	7 740 €	22 589 €	2 600 €	59 264 €	10 900 €	208 300 €	352 704 €
Total receipts (in €)							

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Proposal

Step 5
Edit Proposal

Edit Proposal

In this step you can edit the administrative forms and upload the proposal itself.

WARNING: This proposal contains changes that have not yet been submitted.

Administrative Forms

Edit will open the forms in Adobe Reader.

Part B and Annexes

In this section you may upload the proposal text itself (in PDF format only) and any other requested attachments.

Configuration OK

You're using Firefox 17 on Windows. Adobe Reader (version 10.1.7.27) is installed.

For more information, please consult the User Guide.



The Proposal Template

You will have to use the official template for the part B

Pay attention to:

- **Page limits:** defined in the template – excess pages will be watermarked by the system and disregarded by the evaluators
- **Description of Participants:** needed to assess their operational capacity - must be accurate and detailed according to the template
- **Ethics:** complete the self-assessment
- **Security:** no classified information in the proposal, address possible classification of foreground

A few tips

- Read carefully the work programme, its annexes, the Guide for Applicants and especially the chosen topic: what is expected?
- Follow strictly the instructions and the proposal template
- Be clear, explicit and concise. Use plain English
- Respect admissibility and eligibility criteria
- Convince the evaluators about the selection and award criteria
- Carefully proof-read your proposal: avoid inconsistencies; make it easy to find answers to criteria sub-questions
- Have your proposal checked by somebody not directly involved in its preparation

More Information

The Participant Portal:

<http://ec.europa.eu/research/participants/portal/desktop/en/home.html>

REA Security Research:

REA-SECURITY-RESEARCH@ec.europa.eu

REA Space Research:

REA-SPACE-CALLS@ec.europa.eu

Me:

Massimo.CISCATO@ec.europa.eu



HORIZON 2020

Good luck with your proposals!